

1. Signatures can be in English or Hindi or any of the other languages contained in the 8 Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Signatures should be preferably in black ink.
3. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
4. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.

Sr.No.	List of Documents for Individual	First Holder	Second Holder	Third Holder
A	Proof of Identity (any one of the following)			
	Mapin Card			
	Passport			
	Voter ID			
	Driving License			
B	Proof of Address (any one of the following)			
	Passport			
	Bank Passbook / Original Chaque			
	Telephone Bill – Not Older than two months			
	Ration Card			
	Electricity Bill – Not Older than two months			
	Leave and License Agreement			
C	Bank Proof			
	Bank Statement not more than three month old			
	Cancelled Cheque or Cheque photocopy			
D	Copy of PAN Card each Account Holder			
E	Photograph of each Account Holder			
	List of Documents for H.U.F			
A	Signed Photograph of the Karta			
B	Proof of Identity of the Karta – same as A in Individual Account			
C	Copy of PAN Card			
D	HUF Bank Proof			
E	HUF Declaration			
F	Proof of Address – same as B in Individual Account			

Instructions:
for Individual (Check Whether)

1. Name of the client is been entered as found in the Identity Proof.
2. Copies of all the supporting documents are to be verified with original and must be stamped as verified.
3. Client has signed at all the required places.
4. Client has signed across his/her photograph.
5. Address (as it appears in the proof) of the client has been entered correctly.
6. Signature of the witness is obtained.
7. Copy of PAN Card is obtained for all Account Holders.
8. Strike of is done where ever it is not applicable
9. Specimen Signature document is signed by the all Holders.
10. Bank MICR Code is obtained in case of AT PAR Cheque.

For HUF : (Check Whether)

1. In Individual - HUF stamp is affixed wherever the client signs on the agreement.
2. Copy of PAN Card is obtained in HUF name.

Application Form for Opening a Demat Account - COMMODITY INDIVIDUAL

 Individual NRI Foreign National

KYC Code :

(To be filled by the Depository Participant)


Form No. :

Group No.		Date													
DP Internal Reference No.															
DPID	1	2	0	4	8	4	0	0	Client ID						
(To be filled by the applicant in BLOCK LETTERS in English) I / We request you to open a Demat Account in my / our name as per the following Details: -															
First Name															
Middle Name															
Last Name															
Father / Husband Name															
Title		<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other								Suffix					
Correspondence Address															
City							State								
Country							PIN								
Telephone No.							Mobile No.								
Pan							Fax No.								
E-mail ID															
Permanent Address (if Different from Correspondence Address)															
City							State								
Country							PIN								
Telephone No.							Mobile No.								
E-mail ID															


Sole / First Holders Details

First Name												
Middle Name												
Last Name												
Father / Husband Name												
Title		<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other								Suffix		
Permanent Address												
City							State					
Country							PIN					
Telephone No.							Mobile No.					
PAN							Fax No.					
E-mail ID												


Joint Holders – Second Holder's Details



 (Sole / First Holder)



 (Second Holder)





 (Third Holder)


Joint Holders – Third Holder's Details	First Name							
	Middle Name							
	Last Name							
	Father / Husband Name							
	Title		<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other				Suffix	
	Permanent Address							
	City		State					
	Country		PIN					
	Telephone No.		Mobile No.					
	PAN		Fax No.					
E-mail ID								

Type of Account (Please tick whichever is applicable)	Status	Sub-Status					
	Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)			<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Others (specify)		
	NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts			<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)		
	Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)					
	I / We instruct the DP to receive each and every credit in my / our account	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No					
	Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly					
	Do you wish to receive dividend / interest directly in to your bank account given below through ECS?	<input type="checkbox"/> Yes <input type="checkbox"/> No					

Bank Details [Dividend Bank Details]	Bank Code (9 digit MICR Code)							
	Bank Name							
	Branch							
	Bank Address							
	City		State		Country		PIN	
	Account Number							
	Account type		<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Others (Specify)					


 (Sole / First Holder)








 (Second Holder)


 (Third Holder)

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder	Second Joing Holder	Third Joint Holder
Name			
Signatures			
Passport size Photograph			

[In case of minor holder, photograph of guardian has to be affixed along with minor's photograph.]

Name * _____


* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Additional Details	SMSAlert Facility	<input type="checkbox"/> Yes Mobile No. +91 _____ Refer to Terms & Conditions given as Annexure-A	<input type="checkbox"/> No
	easi (electronic access to securities information)	<input type="checkbox"/> Yes. If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balnces, transactions and value of the portfolio online.]	<input type="checkbox"/> No

Details For First Holder	Date of Birth	D D M M Y Y Y Y
	Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)
	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
	Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
	Nature of business: (Products / services provided)	
	Financial Details:	Income Range per annum: <input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs.1,00,001 to Rs.2,00,000 <input type="checkbox"/> Rs.2,00,001 to Rs.5,00,000 <input type="checkbox"/> More than Rs.5,00,000

Details For Joint Second Holder	Date of Birth	D D M M Y Y Y Y
	Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)
	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Corporate
	Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
	Nature of business: (Products / services provided)	


Details For Joint Third Holder	Date of Birth	D D M M Y Y Y Y
	Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)
	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Corporate
	Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
	Nature of business: (Products / services provided)	



 (Sole / First Holder)



 (Second Holder)



 (Third Holder)




Details of Guardian (if First Holder or Second Holder or Third Holder is a minor)

First Name							
Middle Name							
Last / Search Name							
Relationship with the applicant							
Correspondence Address							
City	State						
Country	PIN						
Telephone No.	Mobile No.						
PAN	Fax No.						
E-mail ID							

For NRIs

Foreign Address							
City	State						
Country	PIN						

(Perforated Card)

DP ID	1	2	0	4	8	4	0	0	Client ID								
	First / Sole Holder			Second Holder			Third Holder										
Name																	
Specimen Signatures																	

(Perforated Card)

(To be filled by the Depository Participant)

Application No. :

Acknowledgement Receipt

KYC Code :

We Hereby acknowledge the receipt of the Account Opening Application Form :

Form No. :

Name of the Sole / First Holder	
Name of Second Joint Holder	
Name of Third Joint Holder	

For Kunvarji Finstock Pvt. Ltd.

(Authorised Signatory)

NOMINATION FORM

To,
 Kunvarji Finstock Pvt. Ltd.
 409, Shyamak Complex, B/h. Kamdhenu Complex,
 Ambawadi, Ahmedabad - 380 015.

Dear Sir/ Madam,

I / We the sole holder / Joint holders / Guardian (in case of minor) hereby nominate the following person who is entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details

DPID	1	2	0	4	8	4	0	0	Client ID							
Name of the Sole / First Holder																
Name of Second Holder																
Name of Third Holder																

Nominee Details

First Name		Photograph
Middle Name		
Last Name		
Address		
City		
Country		
Telephone No.		
E-mail ID		
Relationship with BO (If any)		
Date of birth (If nominee is a minor)		

For Kunvarji Finstock Pvt. Ltd.

(Authorised Signatory)

(Perforated Card)

Acknowledgement Receipt

Received nomination request from :

DPID	1	2	0	4	8	4	0	0	Client ID								
Name																	
Address																	
Nomination in favor of																	
Registration No.									Registered on	D	D	M	M	Y	Y	Y	Y

(To be filled by DP)

For Kunvarji Finstock Pvt. Ltd.

Nomination accepted and registered wide

Registration No.: _____

Dated : _____

(Authorised Signatory)




As the nominee is a minor as on date, I/We appoint following person to act as guardian:

First Name							
Middle Name							
Last Name							
Address							
City		PIN					
Country							
Age							
Details of the Witness	First Witness			Second Witness			
Names of Witness							
Address of Witness							
Signature of Witness							

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders.

This nomination is in accordance with thesection 109 A of the Companies Act, 1956 , and shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: _____ Date: _____

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature			

Note : Two witnesses shall attest signature(s) / Thumb impression(s).

Note : 1. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.

2. Date of Birth Certificate is required in case of Minors.

DP – BO Agreement

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT [entity registered as Depository Participant of Central Depository Services (India) Limited ("CDSL") with Securities and Exchange Board of India (SEBI) under SEBI (Depositories & Participants) Regulation, 1996] and the BO (a person seeking to open a depository account with the depository participant) for the purpose of holding and transacting in electronic warehouse receipts.

This agreement made and entered into this _____ day of _____ between _____ situated at _____ (hereinafter called the "BO") and Kunvarji Finstock Pvt. Ltd. situated at 409, Shyamak Complex, B/H. Kamdhenu Complex, Ambawadi, Ahmedabad - 380 015. (hereinafter called the "Depository Participant" or "DP").

Witnesseth

WHEREAS the Depository Participant is registered as a participant in the Central Depository Services (India) Limited. ("CDSL"), a duly registered depository under the Depositories Act, 1996.

WHEREAS the BO has furnished to the Depository Participant the duly filled in application form as prescribed by CDSL requesting therein the Depository Participant to open an account with the Depository Participant; and Depository Participant has represented to the BO that it has set up a facility to extend depository services offered by CDSL to warehouse receipts.

NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the Agreement hereby covenant and agree as follows:

- 1) The BO shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the BO and for rendering such other services as may be agreed to from time to time between the Depository Participant and the BO as set out in Schedule A. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the BO. Depository Participant shall not levy account closure charges if BO chooses to close the account.
- 2) The Depository Participant hereby undertakes that it shall maintain a separate account of its own warehouse receipts, if any, held in dematerialised form and shall not co-mingle the same with the warehouse receipts held in dematerialised form by the BO.
- 3) The Depository Participant undertakes that a transfer to and from the accounts of the BO shall be made only on the basis of a written order, instruction, direction or mandate duly authorised by the BO and that the Depository Participant shall maintain adequate audit trail of such authorization.
- 4) The Depository Participant agrees that the BO may give written standing instructions with regard to the debiting or crediting of electronic warehouse receipts in its account and the Depository Participant shall act according to such instructions.
- 5) The Depository Participant undertakes to provide a transaction statement to the BO at least at monthly intervals unless the Depository Participant and the BO have agreed in writing for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the BO at least once a quarter.
- 6) The Depository Participant undertakes to resolve all legitimate grievances of the BO against the Depository Participant within a period of thirty days.
- 7) The BO agrees to operate this beneficial owner account for the sole purpose of holding and transacting in electronic warehouse receipts and shall be solely responsible for costs and consequences of receiving credit of any other securities into this account in the event of such credit being made owing to instructions issued by the client. The DP may levy special charges to transfer such securities out of this account, in addition to the charges listed in Schedule 'A'. However, if the BO gets any erroneous credit of securities / WRs in this account, he will assist the DP in restoring the securities into the account from which the securities were received in the clients account at no cost to the client.
- 8) The BO may seek delivery of the commodity represented by electronic warehouse receipt from the warehouse that has issued the electronic

- 11) The BO further agrees that in the event of the BO committing a default in the payment of any of the amounts due and owing to the DP in terms of this Agreement within a period of fifteen days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, the Depository Participant may charge interest at a rate not exceeding 15%. In case the BO has failed to make the payment of any such amount, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the Client. If the BO does not make the payment for more than two months, Depository Participant may sell the commodities represented by the warehouse receipts to recover the amount due to it after giving 7 days notice to the Client. The DP shall always be deemed to have had a first charge and lien over the warehouse receipts in the account of the BO towards recovery of amounts due under this Agreement.
- 12) The Depository Participant shall have the right to terminate this Agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days. The BO shall have the right to terminate this Agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. Provided further, termination of this Agreement shall not affect the rights, liabilities and obligations of either party accruing prior to termination and shall continue to bind the parties to their satisfactory completion.
- 13) In the event of Depository Participant being suspended or terminated by CDSL/SEBI in terms of Bye Laws/Operating Instructions of CDSL or SEBI [Depositories & Participants] Regulations, 1996, Depository Participant / CDSL may give a notice of not less than 30 days of such suspension/termination to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or if it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advise against electronic warehouse receipts within a period of three days.
- 14) The BO shall have the right to create a pledge of the electronic warehouse receipts held in the dematerialised form. The BO may exercise the right to freeze his account maintained with the Depository Participant so as to lock the electronic warehouse receipts held with the Depository Participant. The BO may exercise the right to un-freeze his account maintained with the Depository Participant. Such freezing and un-freezing of the account shall be in accordance with the procedure prescribed in this regard by CDSL.
- 15) The BO shall notify the Depository Participant within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
- 16) The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the CDSL from time to time.
- 17) The Depository Participant shall not be liable to the BO in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of electronic warehouse receipts credited to Client's account.
- 18) Depository Participant shall discharge all its obligations to the BO as provided by SEBI / any other regulatory authority and / or those guidelines / instructions issued by CDSL from time to time and in force at the time of entering into this Agreement and as may be amended as if such BO were a beneficial owner of securities in terms of the aforesaid laws, and shall maintain the same standard of service provided such obligations are not in contravention of the terms and conditions of this Agreement.
- 19) All claims, differences and disputes between Depository Participants and Clients arising out of or in relation to dealings on the CDSL Depository including any agreements, contracts and transactions made subject to this Agreement or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions, agreements and contracts have been entered into or not, shall be referred to arbitration in accordance to and subject to the provisions of the Arbitration and Conciliation Act, 1996. The arbitration mechanism prescribed under the CDSL Bye-Laws, Operating Instructions for disputes between DP and clients in securities transactions shall be applicable to disputes arising out of and in connection with this Agreement, as if the same have been incorporated hereinbelow and formed part of this Agreement.

IN WITNESS WHEREOF the BO and the Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by _____

(Witness)

(for and on behalf of the Client)

Signed and delivered by _____

(Witness)

(for and on behalf of the Depository Participant)

AGREEMENT BETWEEN THE PARTICIPANT AND THE CLIENT FOR PROVIDING THEIR TRANSACTION STATEMENT THROUGH INTERNET

This agreement made and entered into this the _____ day of _____, 20 _____ between

1. Mr./Mrs./Miss/ _____ S/o, W/o, D/o, _____ aged about _____ years, residing at _____

2. Mr./Mrs./Miss/ _____ S/o, W/o, D/o, _____ aged about _____ years, residing at _____

3. Mr./Mrs./Miss/ _____ S/o, W/o, D/o, _____ aged about _____ years, residing at _____

(hereinafter called "Clients") and Kunvarji Finstock Pvt. Ltd., a company incorporated under the companies Act 1956 and having its registered office at 409, Shyamak Complex, B/h. Kamdhenu Complex, Ambawadi, Ahmedabad-380 015. (hereinafter called "Participant")

Witnesseth

WHEREAS the Client has permitted at the Participant to provide the transaction statement, depository services bill and / or demat account statement of accounts through Internet (web-based and/or email).

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement, depository services bill and / or demat account statement of accounts through internet (web based and/or email), both the parties to the agreement hereby covenant and agree as follows:

- i) The Participant shall install adequate systems to restrict the access of the transaction statement, depository services bill and / or demat account statement of accounts; only to the client.
- ii) the Client shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password.
- iii) The Client is aware that the transaction statement, depository services bill and / or demat account statement of accounts may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- iv) In case the Client opts for transaction statement, depository services bill and / or demat account statement of accounts through email, it shall immediately inform the Participant about change in email address, if any. The participant shall verify and




confirm with the Client about the Change Further. The participant shall verify and confirm with the Client the about validity of the email address atleast once in a year.

v) The Client agrees not to receive transaction statement depository services bill and / or demat account statement of account in paper form from the Participant. Provided however that, in case when the participant is not able to provide transaction statement, depository service bill and / or demat account statement of accounts to its Client through internet (web-based / email) due to any unforeseen problems, the Participant should ensure that the transaction statement, depository service bill & / or demat account statement of accounts reaches the client in physical form as per time schedule stipulated in the Bye Laws & business Rules of CDSL.

vi) The participant and the Client shall have the right to terminate this agreement after giving a notice of atleast 10 days to the other party.

vii) The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the client at the time of opening account with the Depository

IN WITNESS WHEREOF the Participant and the client has caused these presents to be executed as of the day and year first above written.

Signed and delivered by	For Kunvarji Finstock Pvt. Ltd.
1. 	Authorised Signatory
2. 	
3. 	
_____	_____
(for and on behalf of the Clients)	(Witness)

Signed and delivered by	
_____	_____
(for and on behalf of the participant)	(Witness)

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever in whatsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto read by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID

1	2	0	4	8	4	0	0									
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____
 Second Holder's Name : _____
 Third Holder's Name : _____

Mobile Number on Which : _____
 messages are to be sent :

+91																
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

E-mail ID : _____
 (Please write only one valid email ID on which communication, if any, is to be sent)

Signature

		
Sole / First Holder	Second Holder	Third Holder

Place : _____

Date : _____

KUNVARJI FINSTOCK PVT. LTD.



Regd Office : 409, Shyamak Complex, B/H. Kamdhenu Complex, Ambawadi, Ahmedabad - 380 015.
Admn. Office : 111/112, Shyamak Complex, B/H. Kamdhenu Complex, Ambawadi, Ahmedabad - 380 015.
PHONE : +91 079 30075401-02 FAX No. : +91 79 30075403 Email : demat@kunvarji.com

Trust & Bond of Intelligent Investing
DP ID 12048400
SEBI Reg. NO. : IN-DP-CDSL-390-2007

Date: __/__/____

From:

Client Code: _____

To,
Kunvarji Finstock Pvt. Ltd.
409, Shyamak Complex,
B/h Kamdhenu Complex,
Ambawadi,
Ahmedabad – 380015

Sub: Letter for Operations

Dear Sir,

I/We hereby authorise you to transfer funds from any of my/our account in any segment/exchange to my/our client account in CDSL (Central Depository Service (I)Ltd.) opened with you for the purpose of any transaction charges/maintenance charges/ outstanding levies etc. I/We authorise you to transfer funds from my/our account by passing through journal entry/debit note in your books of account of respective section/ department or by physical exchange of cheque.

Thanking you,

Yours Truly,

Client Name: _____



Client Signature

Date: __/__/____

From:

Client Code: _____

To,
Kunvarji Commodities Brokers Pvt. Ltd.,
310, Shyamak Complex,
B/h Kamdhenu Complex,
Ambawadi,
Ahmedabad – 380015

Sub: Letter for Operations

Dear Sir,

I/We authorise you to transfer funds from any of my/our account in any exchange to my/our client account in Central Depository Services (I) Limited opened with Kunvarji Finstock Pvt. Ltd. for the purpose of any transaction charges/maintenance charges/outstanding levies etc. I/We have instructed Kunvarji Finstock Pvt. Ltd. to credit the funds in my account only upon receipt of money.

Thanking you,

Yours Truly,

Client Name: _____



Client Signature