

1. Signatures can be in English or Hindi or any of the other languages contained in the 8 Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Signatures should be preferably in black ink.
3. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
4. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
5. All correspondence / queries shall be addressed to the first / sole applicant.

Sr.No.	List of Documents for Non-Individual	First Holder	Second Holder	Third Holder
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For Authorised Persons of the Non-Individual

A	Proof of Identity (Any one of the following)			
	Mapin Card			
	Passport			
	Voter ID			
	Driving License			
B	Copy of PAN Card of each Holder			
C	Photograph of all the Authorised Person			

Proof for Non-Individual

D	Proof of Address (Any one of the following)			
	Bank Passbook			
	Telephone Bill – Not older than two months			
	Electricity Bill – Not older than two months			
	Leave and License Agreement			
E	Bank Proof			
	Bank Statement – Not older than three months			
	Cancelled Cheque or Cheque photocopy			
F	Copy of PAN Card of the company			
G	Certified copy of Memorandum and Article of Association of the company			
H	A Certified true copy of the board resolution authorising opening of demat account and listing out the names of the officials authorised by the board to act as authorised signatory.			
I	Proof of Names of the authorised signatories, their designation with specimen Signatures			

Clearing Members (CM)		Overseas Corporate Body	
1. A Certified copy of SEBI Registration along with a conduct certificate from each of the exchanges where the CM is a member/ registered.		1. A Certified copy of SEBI / RBI Registration.	
2. All of the above Required Document		2. All of the above Required Document	
Company / Corporate Body			
All of the above Required Document			

Instructions :
For Non - Individual (Check Whether)

- Name of the Company is been entered same as found in PAN Card.
- Name of the Authorised Person entered same as found in Board Resolution.
- Copies of all the supporting documents is been verified with original and is stamped as verified
- Authorised Person has signed at all the required place along with company stamp.
- Authorised Person has signed across his / her photograph.
- Address (as it appears in the proof) of the company has been entered correctly.
- Copy of PAN Card is obtained for all Authorised Signatories and Company.
- Strike off is done wherever it is not applicable.
- Specimen Signature document is signed by all Authorised Signatories.
- Bank MICR Code is obtained in case of AT PAR Cheque.

**Application Form for Opening a Demat Account - COMMODITY
 (For entities other than Individuals)**

To be filled by the Depository Participant

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
Branch		KYC Code								
DP ID	1	2	0	4	8	4	0	0	Client ID	

To be filled by the Applicant in **BLOCK LETTERS** in English.

We request you to open a Depository Account in our Name as per the following details :-

Sole / First Holder Details

Name											
Search Name											
Correspondence Address											
City						State					
Country						PIN					
Telephone No.						Fax No.					
PAN											
E-mail ID											
Registered Office Address (If different from Correspondence Address)											
City						State					
Country						PIN					
Telephone No.						Fax No.					
E-mail ID											

Other Holders - Second Holder Details

First Name											
Middle Name											
Last Name											
Father / Husband Name											
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Other					Suffix					
Permanent Address											
City						State					
Country						PIN					
PAN						FAX No.					
Date of Birth	D	D	M	M	Y	Y	Y	Y			
E-mail ID											
Telephone No.						Mobile No.					



Sole / First Holder



Second Holder



Third Holder

KUNVARJI FINSTOCK PVT. LTD.



Regd Office : 409, Shyamak Complex, B/H. Kamdhenu Complex, Ambawadi, Ahmedabad - 380 015.
 Admn. Office : 111/112, Shyamak Complex, B/H. Kamdhenu Complex, Ambawadi, Ahmedabad - 380 015.
 PHONE : +91 079 30075401-02 FAX No. : +91 79 30075403 Email : demat@kunvarji.com

Trust & Bond of Intelligent Investing
 DP ID 12048400
 SEBI Reg. NO. : IN-DP-CDSL-390-2007

Other Holders - Third Holder Details	First Name												
	Middle Name												
	Last Name												
	Father / Husband Name												
	Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Other								Suffix			
	Permanent Address												
	City							State					
	Country							PIN					
	PAN							FAX No.					
	Date of Birth	D	D	M	M	Y	Y	Y	Y				
	E-mail ID												
	Telephone No.							Mobile No.					

Type of Account (Please tick whichever is applicable)	Status								Sub-Status								
	<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify)								To be filled by the DP								
	Date of Incorporation	D	D	M	M	Y	Y	Y	Y								
	SEBI Registration No. (If Applicable)							SEBI Registration date		D	D	M	M	Y	Y	Y	Y
	ROC Registration No. (If Applicable)							ROC Registration date		D	D	M	M	Y	Y	Y	Y
	RBI Registration No. (If Applicable)							RBI Approval date		D	D	M	M	Y	Y	Y	Y
	Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)															
	I / We authorize you to receive credits in my / our account without any Instruction from me / us.								[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No								
	Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly															
	Do you wish to receive dividend / interest directly in to your bank account given below through ECS?								<input type="checkbox"/> Yes <input type="checkbox"/> No								

Bank Details [Dividend Bank Details]	Bank Code (9 digit MICR Code)																
	Bank Name																
	Branch																
	Bank Address																
	City							State					Country				
	Account Number							PIN									
	Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others															

Sole / First Holder

Second Holder

Third Holder

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- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

For OCBS	Foreign Address											
	City		State									
	Country		PIN									
	Telephone No.		Fax No.									
	E-mail ID											
	Indian Address											
	City		State									
	Country		PIN									
	Telephone No.		Fax No.									
	E-mail ID											
	Currency											
	RBI Reference No.		RBI Approval Date	D	D	M	M	Y	Y	Y	Y	

Clearing Members Details (To be filled by CMs only)	Name of the Stock Exchange											
	Name of the CC / CH											
	Trading Id											
	Clearing Member ID											
	Name *		_____									

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Additional Facility	SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. +91 _____ Refer to Terms & Conditions given as Annexure - A	<input type="checkbox"/> No
	easi	<input type="checkbox"/> Yes. If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

Sole / First Holder

Second Holder

Third Holder

KUNVARJI FINSTOCK PVT. LTD.



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Trust & Bond of Intelligent Investing
 DP ID 12048400
 SEBI Reg. NO. : IN-DP-CDSL-390-2007

Details For Joint - Second Holder	Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (specify)
	Sex	<input type="checkbox"/> Male	<input type="checkbox"/> Female
	Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)	
	Nature of Business: (Products / Services provided)		

Details For Joint - Third Holder	Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (specify)
	Sex	<input type="checkbox"/> Male	<input type="checkbox"/> Female
	Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)	
	Nature of business: (Products / services provided)		

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

KUNVARJI FINSTOCK PVT. LTD.



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Trust & Bond of Intelligent Investing
 DP ID 12048400
 SEBI Reg. NO. : IN-DP-CDSL-390-2007

	First / Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			
Passport Size Photograph	(Please Sign Across the Photograph)	(Please Sign Across the Photograph)	(Please Sign Across the Photograph)

===== Please Tear Here =====

DP ID	1	2	0	4	8	4	0	0	Client ID							
	First Authorised Signatory						Second Authorised Signatory				Third Authorised Signatory					
Name																
Specimen Signature																

===== Please Tear Here =====
 (To be filled by the Depository Participant)

Acknowledgement Receipt

We hereby acknowledge the receipt of the Account Opening Application Form from :-

Name of the Sole / First Holder	
Name of the Second Holder	
Name of the Third Holder	

Depository Participant Seal and Signature

DP – BO Agreement

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT [entity registered as Depository Participant of Central Depository Services (India) Limited (“CDSL”) with Securities and Exchange Board of India (SEBI) under SEBI (Depositories & Participants) Regulation, 1996] and the **BO** (a person seeking to open a depository account with the depository participant) **for the purpose of holding and transacting in electronic warehouse receipts.**

This agreement made and entered into this _____ day of _____ between _____ situated at _____ (hereinafter called the “BO”) and **Kunvarji Finstock Pvt. Ltd.** situated at **409, Shyamak Complex, B/H. Kamdhenu Complex, Ambawadi, Ahmedabad - 380 015.** (hereinafter called the “Depository Participant” or “DP”).

Witnesseth

WHEREAS the Depository Participant is registered as a participant in the Central Depository Services (India) Limited. (“CDSL “), a duly registered depository under the Depositories Act, 1996.

WHEREAS the BO has furnished to the Depository Participant the duly filled in application form as prescribed by CDSL requesting therein the Depository Participant to open an account with the Depository Participant; and Depository Participant has represented to the BO that it has set up a facility to extend depository services offered by CDSL to warehouse receipts.

NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the Agreement hereby covenant and agree as follows:

- 1) The BO shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the BO and for rendering such other services as may be agreed to from time to time between the Depository Participant and the BO as set out in **Schedule A**. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the BO. Depository Participant shall not levy account closure charges if BO chooses to close the account.
- 2) The Depository Participant hereby undertakes that it shall maintain a separate account of its own warehouse receipts, if any, held in dematerialised form and shall not co-mingle the same with the warehouse receipts held in dematerialised form by the BO.
- 3) The Depository Participant undertakes that a transfer to and from the accounts of the BO shall be made only on the basis of a written order, instruction, direction or mandate duly authorised by the BO and that the Depository Participant shall maintain adequate audit trail of such authorization.
- 4) The Depository Participant agrees that the BO may give written standing instructions with regard to the debiting or crediting of electronic warehouse receipts in its account and the Depository Participant shall act according to such instructions.
- 5) The Depository Participant undertakes to provide a transaction statement to the BO atleast at monthly intervals unless the Depository Participant and the BO have agreed in writing for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the BO atleast once a quarter.
- 6) The Depository Participant undertakes to resolve all legitimate grievances of the BO against the Depository Participant within a period of thirty days.
- 7) The BO agrees to operate this beneficial owner account for the sole purpose of holding and transacting in electronic warehouse receipts and shall be solely responsible for costs and consequences of receiving credit of any other securities into this account in the event of such credit being made owing to instructions issued by the client. The DP may levy special charges to transfer such securities out of this account, in addition to the charges listed in Schedule ‘A’. However, if the BO gets any erroneous credit of securities / WRs in this account, he will assist the DP in restoring the securities into the account from which the securities were received in the clients account at no cost to the client.
- 8) The BO may seek delivery of the commodity represented by electronic warehouse receipt from the warehouse that has issued the electronic warehouse receipt. The BO acknowledges that neither the Depository Participant nor CDSL shall be responsible for delivery of the commodities against the electronic warehouse receipts, whether on grounds of quantity, quality, timeliness of delivery or otherwise. The BO hereby indemnifies and agrees to keep indemnified and hold the Depository Participant and CDSL harmless from and against any loss, claim, damage, injury or other cost and expense relating to delivery of the commodity underlying the warehouse receipt.
- 9) The charges related to the warehousing service shall be settled in accordance with the arrangement agreed to by the Client, Depository Participant and warehouse without any recourse to CDSL.
- 10) On the failure of the BO to pay the charges for provision of services by the DP as laid out in clause (1) of this Agreement within a period of fifteen days from the date of demand, Depository Participant may terminate this Agreement and close the account of the BO by requiring it to specify whether the balances in its account be transferred to the account of the BO held with another Depository Participant or send a delivery advise to related warehouse.

- 11) The BO further agrees that in the event of the BO committing a default in the payment of any of the amounts due and owing to the DP in terms of this Agreement within a period of fifteen days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, the Depository Participant may charge interest at a rate not exceeding 15%. In case the BO has failed to make the payment of any such amount, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the Client. **If the BO does not make the payment for more than two months, Depository Participant may sell the commodities represented by the warehouse receipts to recover the amount due to it after giving 7 days notice to the Client. The DP shall always be deemed to have had a first charge and lien over the warehouse receipts in the account of the BO towards recovery of amounts due under this Agreement.**
- 12) The Depository Participant shall have the right to terminate this Agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days. The BO shall have the right to terminate this Agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. Provided further, termination of this Agreement shall not affect the rights, liabilities and obligations of either party accruing prior to termination and shall continue to bind the parties to their satisfactory completion.
- 13) In the event of Depository Participant being suspended or terminated by CDSL/SEBI in terms of Bye Laws/Operating Instructions of CDSL or SEBI [Depositories & Participants] Regulations, 1996, Depository Participant / CDSL may give a notice of not less than 30 days of such suspension/termination to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or if it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days.
- 14) The BO shall have the right to create a pledge of the electronic warehouse receipts held in the dematerialised form. The BO may exercise the right to freeze his account maintained with the Depository Participant so as to lock the electronic warehouse receipts held with the Depository Participant. The BO may exercise the right to un-freeze his account maintained with the Depository Participant. Such freezing and un-freezing of the account shall be in accordance with the procedure prescribed in this regard by CDSL.
- 15) The BO shall notify the Depository Participant within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
- 16) The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the CDSL from time to time.
- 17) The Depository Participant shall not be liable to the BO in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of electronic warehouse receipts credited to Client's account.
- 18) Depository Participant shall discharge all its obligations to the BO as provided by SEBI / any other regulatory authority and / or those guidelines / instructions issued by CDSL from time to time and in force at the time of entering into this Agreement and as may be amended as if such BO were a beneficial owner of securities in terms of the aforesaid laws, and shall maintain the same standard of service provided such obligations are not in contravention of the terms and conditions of this Agreement.
- 19) All claims, differences and disputes between Depository Participants and Clients arising out of or in relation to dealings on the CDSL Depository including any agreements, contracts and transactions made subject to this Agreement or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions, agreements and contracts have been entered into or not, shall be referred to arbitration in accordance to and subject to the provisions of the Arbitration and Conciliation Act, 1996. The arbitration mechanism prescribed under the CDSL Bye-Laws, Operating Instructions for disputes between DP and clients in securities transactions shall be applicable to disputes arising out of and in connection with this Agreement, as if the same have been incorporated hereinbelow and formed part of this Agreement.

IN WITNESS WHEREOF the BO and the Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by _____

 (Witness) _____ (for and on behalf of the Client)

Signed and delivered by _____

 (Witness) _____ (for and on behalf of the Depository Participant)

For Kunvarji Finstock Pvt. Ltd.

AGREEMENT BETWEEN THE PARTICIPENT AND THE CLIENT FOR PROVIDING THEIR TRANSACTION STATEMENT THROUGH INTERNET

This agreement made and entered into this the _____ day of _____, 20 _____ between

1. Mr./Mrs./Miss/ _____, S/o, W/o, D/o, _____ aged about _____ years, residing at _____

2. Mr./Mrs./Miss/ _____, S/o, W/o, D/o, _____ aged about _____ years, residing at _____

3. Mr./Mrs./Miss/ _____, S/o, W/o, D/o, _____ aged about _____ years, residing at _____

(hereinafter called "Clients") and Kunvarji Finstock Pvt. Ltd., a company incorporated under the companies Act 1956 and having its registered office at 409, Shyamak Complex, B/h. Kamdhenu Complex, Ambawadi, Ahmedabad-380 015. (hereinafter called "Participant")

Witnesseth

WHEREAS the Client has permitted at the Participant to provide the transaction statement, depository services bill and / or demat account statement of accounts through Internet (web-based and/or email).

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement, depository services bill and / or demat account statement of accounts through internet (web based and/or email), both the parties to the agreement hereby covenant and agree as follows:

i) The Participant shall install adequate systems to restrict the access of the transaction statement, depository services bill and / or demat account statement of accounts; only to the client.

ii) the Client shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password.

iii) The Client is aware that the transaction statement, depository services bill and / or demat account statement of accounts may be accessed by other entities in case the confidentiality /

secrecy of the login name and password is compromised.

iv) In case the Client opts for transaction statement, depository services bill and / or demat account statement of accounts through email, it shall immediately inform the Participant about change in email address, if any. The participant shall verify and confirm with the Client about the Change Further. The participant shall verify and confirm with the Client the about validity of the email address atleast once in a year.

v) The Client agrees not to receive transaction statement depository services bill and / or demat account statement of account in paper form from the Participant. Provided however that, in case when the participant is not able to provide transaction statement, depository service bill and / or demat account statement of accounts to its Client through internet (web-based / email) due to any unforeseen problems, the Participant should ensure that the transaction statement, depository service bill & / or demat account statement of accounts reaches the client in physical form as per time schedule stipulated in the Bye Laws & business Rules of CDSL.

vi) The participant and the Client shall have the right to terminate this agreement after giving a notice of atleast 10 days to the other party.

vii) The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the client at the time of opening account with the Depository

IN WITNESS WHEREOF the Participant and the client has caused these presents to be executed as of the day and year first above written.

Signed and delivered by _____ For , **Kunvarji Finstock Pvt. Ltd.**

1. 
 2. 
 3. 

Authorized Signatory

 (for and on behalf of the Clients)
 Signed and delivered by

 (Witness)

 (for and on behalf of the participant)

 (Witness)

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable). BOID

1	2	0	4	8	4	0	0									
(Please write your 8 digit DPID)								(Please write your 8 digit Client ID)								

Sole / First Holder's Name : _____
 Second Holder's Name : _____
 Third Holder's Name : _____

Mobile Number on Which messages are to be sent :

+91																
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 (Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____
 E-mail ID : _____
 (Please write only one valid email ID on which communication, if any, is to be sent)




Signature	 Sole / First Holder	 Second Holder	 Third Holder
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Place : _____ Date : _____

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF M/S _____ LTD AND HAVING ITS REGISTRERED OFFICE AT _____ HELD ON _____ DAY _____ OF _____ 20____ AT _____

“RESOLVED THAT

1. a demat account of the company, be opened in the name and style of _____, having its registered office at _____ with KUNVARJI FINSTOCK PVT. LTD., AHMEDABAD a Depository Participant (DP) of Central Depository Services (I) Ltd. (CDSL).
2. the said DP be and hereby authorised to honour all instructions regarding transfer, pay in, pay out of shares and securities, dematerialization of shares and securities and other order made on behalf of the company by following authorised signatories of the company jointly or severally and to act upon any instruction so given relating to the said account whose specimen signatures are appended hereunder;

Name & Designation of Authorised Signatory	Signature
1.	
2.	
3.	

3. Any one of the aforesaid authorised signatories of the company, be and hereby jointly or severally authorised to make an application for opening of the said account and to do all other formalities that are necessary for opening the said demat account.
4. Mr. _____ (name of the Director / Managing Director), Director of the company, be and is hereby authorised to send the copy this resolution as certified true copy of to the aforesaid DP for their information, records and necessary actions.

CERTIFIED TRUE COPY

For _____ Pvt. Ltd. / Ltd.



(Name and Signature with Co's stamp)

Date: ___ / ___ / ___

Place: _____

Date: __ / __ / __

From:

Client Code: _____

To,
Kunvarji Finstock Pvt. Ltd.,
409, Shyamak Complex,
B/h Kamdhenu Complex,
Ambawadi,
Ahmedabad – 380015

Sub: Letter for Operations

Dear Sir,

I/We hereby authorise you to transfer funds from any of my/our account in any segment/exchange to my/our client account in CDSL (Central Depository Service (I)Ltd.) opened with you for the purpose of any transaction charges/maintenance charges/outstanding levies etc. I/We authorise you to transfer funds from my/our account by passing through journal entry/debit note in your books of account of respective section/department or by physical exchange of cheque.

Thanking you,

Yours Truly,

Client Name: _____

Client Signature:  _____

Date: __ / __ / __

From:

Client Code: _____

To,
Kunvarji Commodities Brokers Pvt. Ltd.,
310, Shyamak Complex,
B/h Kamdhenu Complex,
Ambawadi,
Ahmedabad – 380015

Sub: Letter for Operations

Dear Sir,

I/We authorise you to transfer funds from any of my/our account in any exchange to my/our client account in Central Depository Services (I) Limited opened with Kunvarji Finstock Pvt. Ltd. for the purpose of any transaction charges/maintenance charges/outstanding levies etc. I/We have instructed Kunvarji Finstock Pvt. Ltd. to credit the funds in my account only upon receipt of money.

Thanking you,

Yours Truly,

Client Name: _____

Client Signature: